AGENDA
DEPARTMENT OF HEALTH
BOARD OF PHARMACY
FULL BOARD MEETING
CONFERENCE CALL
July 29, 2016
12:00 pm

Call-in number: 888-670-3525 Code: 5134896685

Board Members

Debra B. Glass, BPharm, Chair, Tallahassee Mark Mikhael, PharmD, Vice-Chair, Orlando Goar Alvarez, PharmD, Cooper City Michele Weizer, PharmD, Boca Raton Leo "Lee" Fallon, BPharm, PhD, The Villages Gavin Meshad, Consumer Member, Sarasota Jeenu Philip, BPharm, Jacksonville Jeffrey J. Mesaros, PharmD, JD, Orlando David Bisaillon, Consumer Member, Bradenton

Board Staff

Allison Dudley, Executive Director Amber Wilkins, Regulatory Specialist III

Board Counsel

David Flynn, Assistant Attorney General Lawrence Harris, Assistant Attorney General

- 1. Rule 64B16-32.001, F.A.C. Nonresident Pharmacy Permit
- 2. Rule 64B16-32.007, F.A.C. Nonresident Sterile Compounding Permit for Nonresident Pharmacies
- 3. Rule 64B16-32.009, F.A.C. Nonresident Sterile Compounding Permit for an Outsourcing Facility

Notice of Proposed Rule

DEPARTMENT OF HEALTH

Board of Pharmacy

RULE NO.: RULE TITLE:

64B16-32.001 Nonresident Pharmacy Permit.

PURPOSE AND EFFECT: The Board proposes the rule promulgation to create a rule concerning how to obtain a nonresident pharmacy permit, to update and streamline the process for efficiency, and to incorporate the Nonresident Pharmacy Permit Application.

SUMMARY: The rule promulgation will create a rule concerning obtaining a nonresident pharmacy permit, update and streamline the process for efficiency, and incorporate the Nonresident Pharmacy Permit Application.

SUMMARY OF STATEMENT OF ESTIMATED REGULATORY COSTS AND LEGISLATIVE RATIFICATION: The Agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of \$200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the Agency.

The Agency has determined that the proposed rule is not expected to require legislative ratification based on the statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: During discussion of the economic impact of this rule at its Board meeting, the Board, based upon the expertise and experience of its members, determined that a Statement of Estimated Regulatory Costs (SERC) was not necessary and that the rule will not require ratification by the Legislature. No person or interested party submitted additional information regarding the economic impact at that time.

Any person who wishes to provide information regarding a statement of estimated regulatory costs, or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 465.005, 465.0156 FS.

LAW IMPLEMENTED: 465.0156, 456.065 (3) FS.

IF REQUESTED WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, A HEARING WILL BE SCHEDULED AND ANNOUNCED IN THE FAR.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Allison Dudley, Executive Director, Board of Pharmacy, 4052 Bald Cypress Way, Bin C04, Tallahassee, Florida 32399-3254

THE FULL TEXT OF THE PROPOSED RULE IS:

64B16-32.001 Nonresident Pharmacy Permit.

This permit is required before a pharmacy that is located outside the geographical boundaries of Florida can ship, mail, or deliver, in any manner, a dispensed medicinal drug into Florida.

- (1) This permit does not authorize the nonresident pharmacy to ship, mail, deliver, or dispense, in any manner, a compounded sterile product into Florida.
- (2) An applicant for a nonresident pharmacy permit shall submit an application using Form DH-MQA 1217 (eff. 04/16), "Nonresident Pharmacy Permit Application," which is hereby incorporated by reference and available at http://www.flrules.org/Gateway/reference.asp?No=Ref- or http://floridapharmacy.gov. Applicants for a nonresident pharmacy permit must comply with all requirements in section 465.0156, F.S.

Rulemaking Authority 465.005, 465.0156 FS. Law Implemented 465.0156, 456.065 (3) FS. History – New

NAME OF PERSON ORIGINATING PROPOSED RULE: Board of Pharmacy

NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Board of Pharmacy

DATE PROPOSED RULE APPROVED BY AGENCY HEAD: April 5, 2016

DATE NOTICE OF PROPOSED RULE DEVELOPMENT PUBLISHED IN FAR: May 9, 2016

DIVISION OF MEDICAL QUALITY ASSURANCE BOARD OF PHARMACY 4052 BALD CYPRESS WAY, BIN #C-04 TALLAHASSEE, FLORIDA 32399-3254 (850) 245-4292



NONRESIDENT PHARMACY PERMIT APPLICATION

July 2016

Nonresident Pharmacy Permit Application Information

Nonresident Pharmacy Registration as authorized by Section 465.0156, F.S., is required for those pharmacies located outside the state and which ships, mails, or delivers a dispensed medicinal drug into this state. In order to dispense medicinal drugs into Florida, the pharmacy and the pharmacist designated as the prescription department manager or equivalent must be licensed in the state of location. This permit does not authorize the nonresident pharmacy to ship, mail, deliver, or dispense, in any manner, a compounded sterile product into Florida.

The permit application must be completed and returned to the Florida Board of Pharmacy with the required fee of \$255.00. The application must have the original signature of the owner or officer of the establishment. You must provide a toll free number, which is available 6 days a week, not less than 40 hours, and the pharmacist must be able to access the patient records.

Definition:

For purposes of this application, when the term "affiliated person" is used, the term shall mean any person who has an ownership interest of 5% or greater in the pharmacy and any person who directly or indirectly manages, oversees, or controls the operation of the pharmacy.

Application Processing: Please read all instructions before completing your application.

 Please mail the application and the \$255.00 application fee (check or money order made payable to the FLORIDA DEPARTMENT OF HEALTH) to the following address:

> Department of Health Board of Pharmacy P.O. Box 6330 Tallahassee, Florida 32314-6320

OR, use the following address if you are using express mail:

Department of Health Board of Pharmacy 4052 Bald Cypress Way, Bin C-04 Tallahassee. FL 32399-3254

- 2. Please submit a letter of licensure verification for the facility and the prescription department manager or your state's equivalent to a PDM (i.e., Pharmacist in Charge) from the state board of pharmacy where you are located. The letter must include:
 - a. Original Licensure Date;
 - b. Expiration Date: and
 - c. Licensure Status.
- 3. Please submit a copy of your most recent inspection by the state board of pharmacy or the entity responsible for conducting inspections in the state where you are physically located.

Within 30 days of receipt of your application and fees, the board office will notify you regarding any missing documents and your application status. If your application is incomplete, you will be notified in writing of what is required to deem your application complete. An incomplete application will expire after one year.



P.O. Box 6330 Tallahassee, FL 32314-6320 Telephone (850) 488-0595 www.floridaspharmacy.gov

NONRESIDENT PHARMACY PERMIT APPLICATION

Please submit the application fee and unlicensed activity fee totaling \$255 with your application

v=00 mm your approac			
List Federal Employer Identification	on Number:		
1. Corporate Name			Telephone Number
2. Doing Business As (d/b/a)			E-Mail Address (optional)
3. Mailing Address			
City	Ctata		7:
City	State		Zip
4. Physical Address			
The stage of the s			
City	State		Zip
5. List Prescription Department N		equivalent	
Name	License No.		Start Date
6. Contact Person		Telephone Numb	er
7. DEA Registration Number		8. Do you have 2	4 hour access to patient records?
		YESN	IO If no explain on separate sheet
9. Operating Hours			oll-Free Telephone number x days a week for 40 hours below:

11. Ownership Information				
a. Type of Ownership:Indiv)	
Oth	ner:			
NOTE: IF CORPORATION OR LIMITED PAR INCORPORATION ON FILE WITH THE SEC	TNERSHIP YOU MUST	I INCLUDE WITH YOUR APPLICATION A CO	OPY OF THE ARTICLES OF ITED.	
b. List each principal, officer, a Attach a separate sheet if necessary.		employee or affiliated person of	of the applicant.	
Name and Title	Date of Birth	Mailing Address	% of Ownership	
Pursuant to Section 456.0635(2), <i>Florida Statutes</i> , questions 12 through 18 must be answered. If you answer yes to any of the following questions, explain on a separate sheet providing accurate details and submit copies of supporting documentation. Supporting documentation must include at a minimum the official charging document and the official judgment and sentence.				
12. Has the applicant or any principal, officer, agent, managing employee, or affiliated person of the applicant been convicted of, or entered a plea of guilty or nolo contendere to, regardless of adjudication, a felony under Chapter 409, F.S. (relating to social and economic assistance), Chapter 817, F.S. (relating to fraudulent practices), Chapter 893, F.S. (relating to drug abuse prevention and control) or a similar felony offense(s) in another state or jurisdiction? (If "no", skip to 13.)				
Yes No _				
12a. If "yes" to 12, for the felon another state or jurisdiction), he completion of any subsequent	as it been more t			
Yes No				
12b. If "yes" to 12, for the felor or jurisdiction), has it been mo any subsequent probation? Th 893.13(6)(a), Florida Statutes or	re than 10 years	s from the date of the plea, ser s not apply to felonies of the th	ntence and completion of ird degree under Section	
Yes No _				
12c. If "yes" to 12, for the felor jurisdiction) under Section 893.1 another state or jurisdiction has completion of any subsequent	3(6)(a), Florida S s it been more th	Statutes or a similar felony offe	nse committed in	
Yes No				

12d. If "yes" to 12, has the applicant or any principal, officer, agent, managing employee, or affiliated person of the applicant successfully completed a drug court program that resulted in the plea for the felony offense being withdrawn or the charges dismissed? (If "yes", please provide supporting documentation).			
Yes No			
13. Has the applicant or any principal, officer, agent, managing employee, or affiliated person of the applicant ever been convicted of, or entered a plea of guilty or nolo contendere to, regardless of adjudication, a felony under 21 U.S.C. ss. 801-970 (relating to controlled substances) or 42 U.S.C. ss. 1395-1396 (relating to public health, welfare, Medicare and Medicaid issues)? (If "no", do not answer 14)			
Yes No			
14. If "yes" to 13, has it been more than 15 years before the date of application since the sentence and any subsequent period of probation for such conviction or plea ended?			
Yes No			
15. Has the applicant or any principal, officer, agent, managing employee, or affiliated person of the applicant ever been terminated for cause from the Florida Medicaid Program pursuant to Section 409.913, Florida Statutes? (If "no", do not answer 16.)			
Yes No			
16. If "yes" to 15, has the applicant or any principal, officer, agent, managing employee, or affiliated person been reinstated and in good standing with the Florida Medicaid Program for the most recent five years?			
Yes No			
17. Has the applicant or any principal, officer, agent, managing employee, or affiliated person of the applicant ever been terminated for cause, pursuant to the appeals procedures established by the state or any other state Medicaid program? (If "no", do not answer 17a and 17b)			
Yes No			
17a. Has the applicant or any principal, officer, agent, managing employee, or affiliated person of the applicant been in good standing with a state Medicaid program for the most recent five years?			
Yes No			
17b. Did the termination occur at least 20 years prior to the date of this application?			
Yes No			
18. Is the applicant or any principal, officer, agent, managing employee, or affiliated person of the applicant currently listed on the United States Department of Health and Human Services Office of Inspector General's List of Excluded Individuals and Entities?			
Yes No			

	t, managing employee, or affiliated puilty, nolo contendere, or no contes	
	even if adjudication was withheld by the court, so that d is NOT a minor traffic offense for the purposes of thi	
	tered or permitted in any state? If yo permit. <i>Attach a separate sheet if ne</i>	
Yes No		
State	Permit Type	Permit Number
owned a pharmacy? If yes, provid	pal, officer, agent, managing employ e the name of the pharmacy, the stat ttach a separate sheet if necessary.	
Yes No	(If yes, explain on a separate sheet	providing accurate details)
Pharmacy Name	State	Status
	er been taken against any license, pe ent, managing employee, or affiliate	
Yes No	(If yes, explain on a separate	sheet providing accurate details)
23. Is there any other permit issue address on this application?	d by the Florida Department of Healt	th located at the physical location
Yes No	(If yes, explain on a separate	sheet providing accurate details)
	E ANSWERED OR YOUR APPLICAT	
	ants supplement their applications as needed to lication, which takes place between the initial fili ecision of the department.	
basis of my application and I do authorize the secure any additional information concerning future concerning me to any person, corporati agencies or units, and I understand according	application are true, complete, and correct and I Florida Board of Pharmacy to make any investime, and I further authorize them to furnish any ition, institution, association, board, or any municipito the Florida Board of Pharmacy Statutes that it, or forged statement, certificate, diploma, or of 456.072(1)(h), F.S.	gations that they deem appropriate and to information they may have or have in the ipal, county, state, or federal governmental a Pharmacy Permit may be revoked or
SIGNATUREOwner/Officer	TITLE	DATE

NONRESIDENT PHARMACY PERMIT APPLICATION CHECKLIST

Keep a copy of the completed application for your records.

It is recommended that you use the following checklist to help ensure that your application is complete. Failure to attach any required document, or to have required documentation sent to the Board, will result in an incomplete application. Faxed applications will not be accepted.

 Application Completed (all questions answered)
 Application Signed
 Pharmacy Manager and Pharmacy License Verification from the resident state
 \$255.00 Fee Attached (Permit fee includes \$250 application fee and \$5.00 unlicensed activity fee)
 Certificate of Status for the Corporation from the Secretary of State
Copy of the most recent Pharmacy Inspection Report

Notice of Proposed Rule

DEPARTMENT OF HEALTH

Board of Pharmacy

RULE NO.: RULE TITLE:

64B16-32.007 Nonresident Sterile Compounding Permit for Nonresident Pharmacies.

PURPOSE AND EFFECT: The Board proposes the rule promulgation to create a rule regarding nonresident sterile compounding permit for nonresident pharmacies and to incorporate the nonresident sterile compounding permit application for nonresident pharmacies.

SUMMARY: A rule will be created regarding nonresident sterile compounding permit for nonresident pharmacies and to incorporate the nonresident sterile compounding permit application for nonresident pharmacies.

SUMMARY OF STATEMENT OF ESTIMATED REGULATORY COSTS AND LEGISLATIVE RATIFICATION: The Agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of \$200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the Agency.

The Agency has determined that the proposed rule is not expected to require legislative ratification based on the statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: During discussion of the economic impact of this rule at its Board meeting, the Board, based upon the expertise and experience of its members, determined that a Statement of Estimated Regulatory Costs (SERC) was not necessary and that the rule will not require ratification by the Legislature. No person or interested party submitted additional information regarding the economic impact at that time.

Any person who wishes to provide information regarding a statement of estimated regulatory costs, or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 465.0158 FS.

LAW IMPLEMENTED: 465.0158, 456.065(3) FS.

IF REQUESTED WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, A HEARING WILL BE SCHEDULED AND ANNOUNCED IN THE FAR.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Allison Dudley, Executive Director, Board of Pharmacy, 4052 Bald Cypress Way, Bin C04, Tallahassee, Florida 32399-3254

THE FULL TEXT OF THE PROPOSED RULE IS:

64B16-32.007 Nonresident Sterile Compounding Permit for Nonresident Pharmacies

This permit is required before a nonresident pharmacy ships, mails, delivers, or dispenses, in any manner, a patient-specific compounded sterile product into Florida.

- (1) A nonresident pharmacy that obtains a nonresident sterile compounding permit may only ship, mail, deliver, or dispense a patient-specific compounded sterile product into Florida.
- (2) A permit issued pursuant to this section shall be issued with the following conspicuously displayed on the front of the license: Nonresident Sterile Compounding Permit Patient Specific Prescription Compounding Only.
- (3) A nonresident pharmacy applicant seeking a nonresident sterile compounding permit shall submit an application using Form DH5003-MQA (eff. 04/16), "Nonresident Sterile Compounding Permit Application for Nonresident Pharmacies," which is hereby incorporated by reference. The Form is available at http://www.flrules.org/Gateway/reference.asp?No=Ref- or http://floridaspharmacy.gov. An applicant for this permit must comply with all provisions of section 465.0158, F.S.

Rulemaking Authority 456.0158, FS. Law Implemented 465.0158, 456.065(3) FS History New

NAME OF PERSON ORIGINATING PROPOSED RULE: Board of Pharmacy NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Board of Pharmacy DATE PROPOSED RULE APPROVED BY AGENCY HEAD: April 5, 2016 DATE NOTICE OF PROPOSED RULE DEVELOPMENT PUBLISHED IN FAR: May 9, 2016

DIVISION OF MEDICAL QUALITY ASSURANCE BOARD OF PHARMACY 4052 BALD CYPRESS WAY, BIN #C-04 TALLAHASSEE, FLORIDA 32399-3254 (850) 245-4292



NONRESIDENT STERILE COMPOUNDING PERMIT APPLICATION FOR NONRESIDENT PHARMACIES

JULY 2016

Nonresident Sterile Compounding Permit for Nonresident Pharmacies Information

A Nonresident Sterile Compounding Permit as authorized by Section 465.0158, *Florida Statutes* is required in order to ship, mail, deliver, or dispense in any manner, a compounded sterile product into Florida.

Definition:

For purposes of this application, when the term "affiliated person" is used, the term shall mean any person who has an ownership interest of 5% or greater in the pharmacy and any person who directly or indirectly manages, oversees, or controls the operation of the pharmacy.

Application Processing

1. Please mail the application and the \$255.00 application fee (check or money order made payable to the FLORIDA DEPARTMENT OF HEALTH) to the following address:

Department of Health Board of Pharmacy P.O. Box 6330 Tallahassee, Florida 32314-6320

OR, use the following address if you are using express mail:

Department of Health Board of Pharmacy 4052 Bald Cypress Way, Bin C-04 Tallahassee, FL 32399-3254

- **2.** Along with the application, Nonresident Pharmacies must submit the following:
 - **a.** A letter of licensure verification for both the facility and the Prescription Department Manager or Pharmacist in Charge or equivalent from the state, territory or district regulatory or licensing agency. The letter must include the original licensure date, the expiration date, and current licensure status.
 - **b.** A copy of a current inspection report from an inspection conducted by the regulatory or licensing agency of the state, territory, or district in which the applicant is located. The inspection report is current if the inspection was conducted within six months before the date of submission of this application. The current inspection report must demonstrate that the applicant is fully compliant with chapters 797, 71, 85, and 731 of the United States Pharmacopeia that are adopted in Rule 64B16-27.797(1), Florida Administrative Code.

If you are unable to submit a current inspection report demonstrating compliance with the applicable chapters of the pharmacopeia, due to acceptable circumstances as established by Rule 64B16-28.905, F.A.C. or if no current inspection has been performed, the applicant may:

 Submit a current and satisfactory inspection report from an entity approved by the board. Approved entities can be found on the Board's website at www.floridaspharmacy.gov; or

- Request the Department to perform an onsite inspection in which all costs are borne by the applicant.
- **c.** A copy of the applicant's existing policies and procedures for sterile compounding. The policies and procedures must comply with pharmaceutical standards in chapters 797, 71, 85 and 731 of the United States Pharmacopoeia.
- d. Any and all other documentation requested or mandated within this application.
- 3. Once an application is complete and approved, the Department will issue a permit which you will receive within 7 days.

All pharmacies must answer the following questions. The questions will assist in the Board's review of your application to determine your pharmacy's compliance the applicable chapters of the United States Pharmacopeia. Please answer the following questions as completely and legibly as possible. Attach additional pages if needed.

Th	ese questions relate to your primary engineering controls.
a.	How many primary engineering controls do you have?
b.	What kind are they? (select all that apply)
	 □ Laminar Airflow Workbench (LAFW) □ Compounding Aseptic Isolator (CAI) □ Biological Safety Cabinet (BSC) □ Compounding Aseptic Containment Isolator (CACI) □ Integrated vertical clean bench □ Other: please describe
C.	Where are your primary engineering controls located? (select all that apply)
	 □ Positive Pressure ISO Class 7 buffer room with walls/doors □ Negative Pressure ISO Class 7 buffer room with walls/doors □ Positive Pressure ISO Class 7 anteroom □ Positive Pressure ISO Class 8 anteroom □ Non-ISO classed segregated compounding area for non-hazardous compounding □ Non-ISO classed containment segregated compounding room with 12 ACPH/negative pressure □ Other: please describe
d.	What was the date of the last certification of your primary and secondary engineering controls?
e.	Did the certification of the primary and (if applicable) secondary engineering controls include testing of non-viable particle counts and airflow pattern smoke testing <i>under dynamic operating conditions</i> (while pharmacy staff are working or simulating work in the area being tested)? Yes No

1.

2.	What kind of gloves and alcohol are in use at your pharmacy for sterile compounding activities?
	Describe briefly:
3.	If your pharmacy uses isolators (Compounding Aseptic Isolators or Compounding Aseptic Containment Isolators), describe how gloves are donned before compounding in your isolator(s).
	☐ Not applicable because we do not use isolators for sterile compounding.
	Describe briefly:
4.	Primary engineering controls must be disinfected at frequent intervals with sterile 70% IPA during use but they also must be part of the daily cleaning routine. Briefly describe how the inside of your primary engineering controls are cleaned and disinfected (as well as the agents used) during your pharmacy's daily cleaning routine.
	Describe briefly:
5.	Before pharmacy staff or outsourced cleaning staff are allowed to perform daily and monthly cleaning activities, they must receive (at a minimum) training and competency verification in which two areas?
	1. 2.
6.	USP Chapter 797 requires that each compounding staff member successfully complete some training and testing before they are allowed to make compounded sterile preparations for human use. Briefly describe this type of training and testing at your facility.
	Describe briefly:

a. How often does your pharmacy perform viable air sampling?b. Where is viable air sampling performed?
c. How large are the samples of air you are
d. What are your action levels?
Surface sampling is an environmental metric that is required "periodically" by USP Chapter 797. How is it performed at your pharmacy? Briefly describe under what conditions it is performed, how often, with what and where it is performed.
Describe briefly:
USP Chapter 797 requires gloved fingertip sampling. Briefly describe how and when your pharmacy performs gloved fingertip sampling.
Describe briefly:
. What activities would occur at your pharmacy if the results (number of colony forming units) of one of your environmental sampling samples exceeded the preselected Action Levels for that area.
Describe briefly:
. Please explain how the concept of "first air" is critical to executing sterile compounding with proper aseptic technique.
Describe briefly:

7. These questions relate to viable air sampling. Please provide a short answer to each.

12. If a pharmacy uses a 0.22 micron filter for the purposes of sterilization, what test is require that batch may be released?	
13	According to USP Chapter 797, is sterility testing required if a beyond-use date of 30 days refrigerated is assigned to a medium risk level batch?
	Answer Yes or No and then briefly explain your rationale:
14	During a compounding process, the pharmacy removes the vial stopper from a product purchased from an FDA registered manufacturer. Does this change the risk level that should be assigned to the final compounded sterile product (CSP) made from that product and what risk level would you assign it?
	Answer: Yes or No then indicate the risk level you would assign this CSP and your rationale:
15	. Please describe your use of lyophilization in your pharmacy.
16	If a pharmacy has performed sterility testing on a batch (or outsourced it to a vendor who performs sterility testing in compliance with USP Chapter 71 on their behalf) and the batch fails, is it acceptable practice to retest that batch?
	Answer Yes or No and then briefly describe your rationale:



P.O. Box 6330 | Tallahassee, FL 32314 (850) 245-4292 | <u>www.floridaspharmacy.gov</u>

NONRESIDENT STERILE COMPOUNDING APPLICATION FOR NONRESIDENT PHARMACIES

Please submit the application fee and unlicensed activity fee totaling \$255 with your application.				
Existing Nonresident Pharmacy Permit Number (If you do not have this permit, you must also submit an application for a Nonresident Pharmacy Registration.)Existing Nonresident Sterile Compounding Permit Number (if applicable)				
Federal Employer Identification	Number (FEIN)			
1. Corporate Name		Telephone Number		
2. Doing Business As (d/b/a)		E-Mail Address (Optional)		
3. Mailing Address				
City	State	Zip		
4. Physical Address				
City	State	Zip		
5. Prescription Department Mar	nager (PDM) or Pharmacist In C	harge (PIC) or equivalent		
Name	License No.	Start Date		
6. Contact Person	Telephone Nun	nber		
7. DEA Registration Number (If applicable)				
8. Do you have 24-hour access to patient records?YesNo (If no, please provide an explanation on a separate sheet of paper)				

9. Date of last inspection: Day MonthYear Inspecting Authority			
the United States Pharn and your policies and p	nacopeia? (Attach a	ompliance with Chapters 797, 71, 85, and copy of the inspection report, the floor	
11. Prescription DepartmentMonday-Friday:OpenSaturday:OpenSunday:Open	Close:	12. Toll-Free Telephone Number (available 6 days a week for 40 hours)	-
13. Ownership Information			
a. Type of Ownership IndividualCorporationPartnershipOther: CORPORATIONS & LIMITED PARTNERSHIPS: INCLUDE A COPY OF THE ARTICLES OF INCORPORATION ON FILE WITH THE STATE WHERE THE FACILITY IS LOCATED.			
b. List each principal, office Attach a separate sheet if necessary.		g employee or affiliated person of the	applicant.
Name/Title	Date of Birth / / / /	Mailing Address, City State, Zip Code	% Ownership % %
explain any "yes" answered	to the following qu ng documentation n	to Section 456.0635(2), Florida Statute estions on a separate sheet, providing nust include at a minimum the official ce.	as much
of the applicant been c regardless of adjudicat Florida Statutes or a si	14. Has the applicant or any principal, officer, agent, managing employee, or affiliated person of the applicant been convicted of, or entered a plea of guilty or nolo contendere to, regardless of adjudication, a felony under Chapter 409, Chapter 817, or Chapter 893, Florida Statutes or a similar felony offense committed in another state or jurisdiction? (If "no", skip to question 15.)		
YesNo			

	another state or ju	onies of the first or second degree (or the equivalent level of felony in risdiction), has it been more than 15 years from the date of the plea, pletion of any subsequent probation?
	Yes	No
	state or jurisdictio and completion of of the third degree	onies of the third degree (or the equivalent level of felony in another n), has it been more than 10 years from the date of the plea, sentence any subsequent probation? This question does not apply to felonies under Section 893.13(6)(a), Florida Statutes or a similar felony offense her state or jurisdiction.
	Yes	No
	state or jurisdiction offense committed	onies of the third degree (or the equivalent level of felony in another) under Section 893.13(6)(a), Florida Statutes or a similar felony in another state or jurisdiction has it been more than 5 years from a, sentence and completion of any subsequent probation?
	Yes	No
	person of the appliplea for the felony	oplicant or any principal, officer, agent, managing employee, or affiliated icant successfully completed a drug court program that resulted in the offense being withdrawn or the charges dismissed?
	Yes	No
5.	of the applicant be regardless of adjud	or any principal, officer, agent, managing employee, or affiliated person een convicted of, or entered a plea of guilty or nolo contendere to, dication to a felony under 21 U.S.C. ss. 801-970 or 42 U.S.C. ss. 1395- ublic health, welfare, Medicare and Medicaid issues)? (If "no", skip to
	Yes	No (If yes, explain on a separate sheet providing accurate details)
	If "yes", is the date	e of application more than 15 years after the sentence and any
	subsequent period	of probation ended?
		l of probation ended? No

Has the applicant or any principal, officer, agent, managing employee, or affiliated person of the applicant ever been terminated for cause from the Florida Medicaid Program pursuant to Section 409.913, Florida Statutes? ? (If no, skip to question 16.)				
Yes	١	No		
person of the ap	plicant bee	en reinstated and in g		
Yes	١	No		
of the applicant established by t	ever been t he state or	terminated for cause, federal government,	pursuant to	o the appeals procedures ther state Medicaid program or
Yes	١	No		
If "yes", has the applicant or any principal, officer, agent, managing employee, or affiliated person of the applicant been in good standing with a state Medicaid program or the federal Medicare program for the most recent five years?				
Yes	1	No		
If "yes", did the	termination	n occur at least 20 yea	ars prior to	the date of this application?
Yes	1	No		
the applicant lis	ted on the	United States Departr	nent of Hea	alth Human Services Office of
Yes	No			
Are you currently registered or permitted in any other states? If yes, provide the state, permit type, and permit number for each permit. Attach a separate sheet if necessary.				
		mber for each permit.	Attach a s	separate sheet if necessary.
permit type, and	permit nui	-		Separate sheet if necessary. Permit Number
	of the applicant pursuant to Sec Yes If "yes", has the person of the ap Program for the Yes Has the applicant established by the federal Mediant established by the federal Mediant Yes If "yes", has the person of the apfederal Medicare Yes Is the applicant is Inspector General Mediant Issue applicant Issue applica	If "yes", has the applicant or any profit the applicant ever been established by the state or the federal Medicare program for the applicant been established by the state or the federal Medicare program for the applicant ever been established by the state or the federal Medicare program for the applicant been federal Medicare program for the applicant or any print the applicant listed on the Inspector General's List of the sector General's List of	of the applicant ever been terminated for cause of pursuant to Section 409.913, Florida Statutes? Yes	of the applicant ever been terminated for cause from the FI pursuant to Section 409.913, Florida Statutes? ? (If no, sk Yes No

20.	Has the applicant or any principal, officer, agent, managing employee, or affiliated person ever owned a pharmacy? If yes, provide the name of the pharmacy, the state where the pharmacy is located and the status of the pharmacy.				
	Yes	No	(If yes, please list them b	elow, you may provide ac	dditional sheet)
	Pharmacy Name		State	Stati	us
21.	Has any disciplinary action issued to the applicant or person of the applicant in	any principal, this state or a	officer, agent, man ny other?	aging employee, d	or affiliated
	Yes No documentation from the licensing		explain on a separate she the disciplinary action)	eet providing accurate t	details and submit
22.	Has any principal, officer, ever been convicted of a f			-	
	Yes No withheld by the court, so that you impaired is <u>NOT</u> a minor traffic of	would not have a		felonies, even if adjudioning under the influence	cation was e or driving while
23.	Is there any other permit i location address on this a		Department of Healt	th located at the p	hysical
	Yes No	(If yes, e	xplain on a separate she	et providing accurate d	letails)
24.	Does the applicant or any person of the applicant ha final order of the departm	ve any outsta			
	Yes No	(If yes, e	xplain on a separate she	et providing accurate d	letails)
	If "yes", does the applica affiliated person of the ap				-
	Yes No				
25. insp	Has the applicant rec ection conducted by the FI			Warning Letter	following an
	Yes No action plan, and supporting documentation may in procedures.)	mentation demons		e action plan was imple	mented.

APPLICANT SIGNATURE PAGE

Florida law requires that applicants supplement their applications as needed to reflect any material change in any circumstances or conditions stated in the application that takes place between the initial filing of the application and the final grant or denial of the license, which might affect the decision of the department of board.

****************	********	********			
I, the undersigned, certify that the statements conticorrect and I agree that said statements shall form Florida Board of Pharmacy and the Department to mand to secure any additional information concerning furnish any information they may have or have in the institution, association, board, or any municipal, counits. I understand according to the Florida Board of be denied, revoked or suspended for presenting any diploma, or other thing, in connection with an application.	n the basis of my applicat ake any investigations that g the applicant or me. I full future concerning me to a unty, state, or federal gov f Pharmacy Statutes that a gralse, fraudulent, or forged	ion. I do authorize the they deem appropriate ther authorize them to ny person, corporation, ernmental agencies or Pharmacy Permit may			
I, the undersigned, hereby acknowledge that proving false information in relation to this application, may result in denial of licensure, discipline, and/ or criminal penalties pursuant to sections 456.067, 465.015 (5), 775.082, 775.083, and 775.084, <i>Florida Statutes</i> .					
I, the undersigned, have completely reviewed and reafacts stated in it are true	ad the foregoing document	and state that the			
SIGNATUREOwner/Officer	TITLE	DATE			
Owner/Officer					
******************	**********	*******			
**********************	**********	*********			



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ATTESTATION

Section 465.0158(3)(c), F.S., requires that applicants submit a written attestation by an owner or officer of the applicant and by the applicant's Prescription Department Manager (PDM) or Pharmacist In Charge (PIC).

I hereby attest and affirm that I have read and understand the laws and rules governing sterile compounding in the State of Florida, and that any sterile compounded product shipped, mailed, delivered, or dispensed into the State of Florida from our facility meets or exceeds the standards for sterile compounding set by the State of Florida and has not been compounded in violation of the laws and rules of the state, territory, or district in which our facility is located.

I declare that I have read the fore	egoing Attestation and th	nat the facts stated in it are true.
SIGNATURE(Owner/Officer)	TITLE	DATE
SIGNATURE(PDM/PIC)	TITLE	DATE

Notice of Proposed Rule

DEPARTMENT OF HEALTH

Board of Pharmacy

RULE NO.: RULE TITLE:

64B16-32.009 Nonresident Sterile Compounding Permit for an Outsourcing Facility.

PURPOSE AND EFFECT: The Board proposes the rule promulgation to create a rule regarding nonresident sterile compounding permit for an outsourcing facility and to incorporate the Nonresident Sterile Compounding Permit Application for Outsourcing Facilities.

SUMMARY: A rule will be created regarding nonresident sterile compounding permit for an outsourcing facility and to incorporate the Nonresident Sterile Compounding Permit Application for Outsourcing Facilities.

SUMMARY OF STATEMENT OF ESTIMATED REGULATORY COSTS AND LEGISLATIVE RATIFICATION: The Agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of \$200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the Agency.

The Agency has determined that the proposed rule is not expected to require legislative ratification based on the statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: During discussion of the economic impact of this rule at its Board meeting, the Board, based upon the expertise and experience of its members, determined that a Statement of Estimated Regulatory Costs (SERC) was not necessary and that the rule will not require ratification by the Legislature. No person or interested party submitted additional information regarding the economic impact at that time.

Any person who wishes to provide information regarding a statement of estimated regulatory costs, or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 465.0158 FS.

LAW IMPLEMENTED: 465.0158, 456.065(3) FS.

IF REQUESTED WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, A HEARING WILL BE SCHEDULED AND ANNOUNCED IN THE FAR.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Allison Dudley, Executive Director, Board of Pharmacy, 4052 Bald Cypress Way, Bin C04, Tallahassee, Florida 32399-3254

THE FULL TEXT OF THE PROPOSED RULE IS:

64B5-32.009 Nonresident Sterile Compounding Permit for an Outsourcing Facility.

This permit is required before an outsourcing facility that is located outside of Florida, ships, mails, delivers, or dispenses, in any manner a compounded sterile product into Florida.

- (1) An outsourcing facility that obtains a nonresident sterile compounding permit may ship, mail, or deliver a sterile compounded product into Florida for office-use and may ship, mail, deliver, or dispense a patient-specific compounded sterile product into Florida. This permit does not authorize the shipping, mailing, delivering, or dispensing of a non-compounded medicinal drug into Florida.
- (2) A permit issued pursuant to this section shall be issued with the following conspicuously displayed on the front of the license: Outsourcing Facility Nonresident Sterile Compounding Permit Patient Specific Prescription Compounding and Office-Use Compounding.
- (3) An outsourcing facility applicant seeking a nonresident sterile compounding permit shall submit an application using Form DH5004-MQA (eff. 04/16), "Nonresident Sterile Compounding Permit Application for Outsourcing Facilities" which is hereby incorporated by reference. This Form is available at http://www.flrules.org/Gateway/reference.asp?No=Ref- or http://floridaspharmacy.gov. Rulemaking Authority 456.0158, FS. Law Implemented 465.0158, 456.065(3) FS. History New

NAME OF PERSON ORIGINATING PROPOSED RULE: Board of Pharmacy NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Board of Pharmacy DATE PROPOSED RULE APPROVED BY AGENCY HEAD: April 5, 2016 DATE NOTICE OF PROPOSED RULE DEVELOPMENT PUBLISHED IN FAR: May 9, 2016

DIVISION OF MEDICAL QUALITY ASSURANCE BOARD OF PHARMACY 4052 BALD CYPRESS WAY, BIN #C-04 TALLAHASSEE, FLORIDA 32399-3254 (850) 245-4292



NONRESIDENT STERILE COMPOUNDING PERMIT APPLICATION FOR OUTSOURCING FACILITIES

JULY 2016

Nonresident Sterile Compounding Permit for Nonresident Pharmacies Information

A Nonresident Sterile Compounding Permit as authorized by Section 465.0158, *Florida Statutes* is required in order to ship, mail, deliver, or dispense in any manner, a compounded sterile product into Florida.

Definitions:

- a. For purposes of this application, when the term "affiliated person" is used, the term shall mean any person who has an ownership interest of 5% or greater in the pharmacy and any person who directly or indirectly manages, oversees, or controls the operation of the pharmacy.
- **b.** For the purposes of this application, the term "supervising pharmacist" shall be the equivalent to the terms "prescription department manager" or "pharmacist in charge".

Application Processing

1. Please mail the application and the \$255.00 application fee (check or money order made payable to the FLORIDA DEPARTMENT OF HEALTH) to the following address:

Department of Health Board of Pharmacy P.O. Box 6330 Tallahassee, Florida 32314-6320

OR, use the following address if you are using express mail:

Department of Health Board of Pharmacy 4052 Bald Cypress Way, Bin C-04 Tallahassee, FL 32399-3254

- **2.** Along with the application, Outsourcing Facilities must submit the following:
 - **a.** Proof of registration as an outsourcing facility with the Secretary of the U.S. Department of Health and Human Services.
 - **b.** A letter of licensure verification for the Prescription Department Manager or Pharmacist in Charge or equivalent (ie. supervising pharmacist) from the state, territory or district regulatory or licensing agency. The letter must include the original licensure date, the expiration date, and current licensure status.
 - **c.** A copy of a current inspection report from an inspection conducted by the regulatory or licensing agency of the state, territory, or district in which the applicant is located. The inspection report is current if the inspection was conducted within six months before the date of submission of this application. The current inspection report must demonstrate that applicant is fully compliant with Current Good Manufacturing Practices that are adopted in Rule 64B16-27.797(3), Florida Administrative Code.

If you are unable to submit a current inspection report demonstrating compliance with Current Good Manufacturing Practices, due to acceptable circumstances as established by Rule 64B16-28.905, F.A.C. or if no current inspection has been performed, the applicant may:

- Submit a current inspection report from the United States Food and Drug Administration conducted pursuant to the federal Drug Quality and Security Act.
- Submit a current and satisfactory inspection report from an entity approved by the board. Approved entities can be found on the Board's website at www.floridaspharmacy.gov; or
- Request the Department to perform an onsite inspection in which all costs are borne by the applicant.
- **d**. A copy of the applicant's existing policies and procedures for sterile compounding. The policies and procedures must comply with the standards for Current Good Manufacturing Practices.
- e. Any and all other documentation requested or mandated within this application.
- **3.** Once an application is complete and approved, board staff will issue a permit which you will received within 7 days of the issue date.



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NONRESIDENT STERILE COMPOUNDING APPLICATION FOR OUTSOURCING FACILITIES

Please submit the application fee and unlicensed activity fee totaling \$255 with your application.					
Federal Employer Identification	Number (FEIN)				
1. Corporate and Registered Ou	itsourcing Facility Name	Telephone Number			
2. Doing Business As (d/b/a)		E-Mail Address (Optional)			
3. Mailing Address					
City	State	Zip			
4. Physical Address					
0.4	04-4-	7			
City	State	Zip			
5. Supervising Pharmacist					
Name	License No.	Start Date			
6. Contact Person		Telephone Number			
7. DEA Registration Number (If	applicable)				
8. Do you have 24-hour access to patient records for those patients who receive a dispensed compounded product pursuant to a patient specific prescription. YesNo (If no, please provide an explanation on a separate sheet of paper)N/A Do not engage in patient specific compounding					

	9. Date of last inspection: Day MonthYear Inspecting Authority				
10.	Was this inspection strue Practices? (Attach a copy manual).	of the inspection	•		•
	Yes	No			
	If you are engaging in phone number that is ava	-		ding, please provide	a toll-free
	(
12.	Ownership Information				
a.	a. Type of Ownership IndividualCorporationPartnershipOther: CORPORATIONS & LIMITED PARTNERSHIPS: INCLUDE A COPY OF THE ARTICLES OF INCORPORATION ON FILE WITH THE STATE WHERE THE FACILITY IS LOCATED.				
b.	List each principal, office ch a separate sheet if necessary.	er, agent, man	aging employee or a	ffiliated person of the	applicant.
	Name/Title	Date of Birth	Mailing Address, 0	City State, Zip Code	% Ownership
		1 1			% %
		1 1			%
Questions 13 through 17 are required pursuant to Section 456.0635(2), <i>Florida Statut</i> es. Please explain any "yes" answered to the following questions on a separate sheet, providing as much detail as possible. Supporting documentation must include at a minimum the official charging document and the official judgment and sentence.					
13.	of the applicant been convicted of, or entered a plea of guilty or nolo contendere to, regardless of adjudication, a felony under Chapter 409, Chapter 817, or Chapter 893, Florida Statutes or a similar felony offense committed in another state or jurisdiction? (If no, skip to question 14.)				
	Yes No				

	another state or ju	lonies of the first or second degree (or the equivalent level of felony in urisdiction), has it been more than 15 years from the date of the plea, upletion of any subsequent probation?
	Yes	No
	state or jurisdiction and completion of the third degree committed in another.	Ionies of the third degree (or the equivalent level of felony in another on), has it been more than 10 years from the date of the plea, sentence any subsequent probation? This question does not apply to felonies a under Section 893.13(6)(a), Florida Statutes or a similar felony offense ther state or jurisdiction.
	Yes	No
	state or jurisdiction offense committe	lonies of the third degree (or the equivalent level of felony in another a) under Section 893.13(6)(a), Florida Statutes or a similar felony d in another state or jurisdiction has it been more than 5 years from a, sentence and completion of any subsequent probation?
	Yes	No
	person of the app	pplicant or any principal, officer, agent, managing employee, or affiliated licant successfully completed a drug court program that resulted in the offense being withdrawn or the charges dismissed? No
١.	of the applicant be regardless of adju	or any principal, officer, agent, managing employee, or affiliated personeen convicted of, or entered a plea of guilty or nolo contendere to, dication to a felony under 21 U.S.C. ss. 801-970 or 42 U.S.C. ss. 1395-bublic health, welfare, Medicare and Medicaid issues)? (If "no", skip to
	Yes	No (If yes, explain on a separate sheet providing accurate details)
		e of application more than 15 years after the sentence and any d of probation ended?
		•
	Yes	No

15.	5. Has the applicant or any principal, officer, agent, managing employee, or affiliated pers of the applicant ever been terminated for cause from the Florida Medicaid Program pursuant to Section 409.913, Florida Statutes? ? (If "no", skip to question 16.)				
	Yes	N	lo		
	•	plicant bee	n reinstated ar		nanaging employee, or affiliated ng with the Florida Medicaid
	Yes	١	lo		
16.	of the applicant of established by the	ever been t ne state or	erminated for of federal govern	cause, pursuant to	employee, or affiliated person o the appeals procedures ther state Medicaid program or ')
	Yes	Ν	lo		
	If "yes", has the applicant or any principal, officer, agent, managing employee, or affiliated person of the applicant been in good standing with a state Medicaid program or the federal Medicare program for the most recent five years?				
	Yes	N	lo		
	If "yes", did the t	ermination	occur at least	20 years prior to	the date of this application?
	Yes	Ν	lo		
17.	the applicant list	ed on the l	Jnited States D		mployee, or affiliated person of olth Human Services Office of es?
	Yes	No			
18.	-		•	_	s? If yes, provide the state, eparate sheet if necessary.
	Yes	N	lo		
	State		Perm	it Type	Permit Number

19.	Has the applicant or any principal, officer, agent, managing employee, or affiliated person ever owned a pharmacy? If yes, provide the name of the pharmacy, the state where the pharmacy is located and the status of the pharmacy.				
	Yes	No (If yes, please list them be	elow, you may provide additional sheet)		
	Pharmacy Name	State	Status		
20.	issued to the applicant or a person of the applicant in	•	aging employee, or affiliated		
		(If yes, explain on a separate she agency who took the disciplinary action)	et providing accurate details and submit		
21.	• • • • • •	agent, managing employee, or aftelony or misdemeanor, excluding	•		
	withheld by the court, so that you	(Include all misdemeanors and would not have a record of conviction. Drivense for the purposes of this question.)	felonies, even if adjudication was ving under the influence or driving while		
22.	Is there any other permit issued by the Department of Health located at the physical location address on this application?				
	Yes No	(If yes, explain on a separate shee	et providing accurate details)		
23.		principal, officer, agent, managin ve any outstanding fines, liens or ent?	• • •		
	Yes No	(If yes, explain on a separate shee	et providing accurate details)		
		oplicant or any principal, officer, a olicant have a repayment plan app			
	Yes No				
24. insp	Has the applicant rece ection conducted by the FD	eived an FDA Form 483 or A within the last 5 years?	Warning Letter following an		
	action plan, and supporting docum	(If yes, please submit the Form 4 nentation demonstrating how the corrective clude but is not limited to pictures, facility d	action plan was implemented.		

APPLICANT SIGNATURE PAGE

Florida law requires that applicants supplement their applications as needed to reflect any material change in any circumstances or conditions stated in the application that takes place between the initial filing of the application and the final grant or denial of the license, which might affect the decision of the department of board.

******************	*******	************	****
I, the undersigned, certify that the statements correct and I agree that said statements shall Florida Board of Pharmacy and the Department and to secure any additional information concurring furnish any information they may have or have institution, association, board, or any municipal units. I understand according to the Florida Board be denied, revoked or suspended for presenting diploma, or other thing, in connection with an approximation.	Il form the basis of to make any invest erning the applicant in the future concertal, county, state, or eard of Pharmacy State any false, fraudule	my application. I do authorize igations that they deem approprior me. I further authorize them ning me to any person, corporatifederal governmental agencies atutes that a Pharmacy Permit ment, or forged statement, certifications.	the ate to on, or nay
I, the undersigned, hereby acknowledge that may result in denial of licensure, discipline, and 465.015 (5), 775.082, 775.083, and 775.084, F	nd/ or criminal pena	• •	
I, the undersigned, have completely reviewed a facts stated in it are true	nd read the foregoin	g document and state that the	
SIGNATUREOwner/Officer	TITLE	DATE	_
******************	********	************	***



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ATTESTATION

Section 465.0158(3) (c), F.S., requires that applicants submit a written attestation by an owner or officer of the applicant and by the applicant's supervising pharmacist.

I hereby attest and affirm that I have read and understand the laws and rules governing sterile compounding in the State of Florida, and that any sterile compounded product shipped, mailed, delivered, or dispensed into the State of Florida from our facility meets or exceeds the standards for sterile compounding set by the State of Florida and has not been compounded in violation of the laws and rules of the state, territory, or district in which our facility is located.

I declare that I have read the foregoing attestation and that the facts stated in it are true.

SIGNATURE		TITLE	DATE_	
	(Owner/Officer)			
SIGNATURE	(Supervising Pharmacist)	TITLE	DATE	